

**CERTIFICATION STANDARDS & CORE PLUS DEVELOPMENT GUIDE**  
**AUDITING LEVEL 3**

Type of Assignment	Representative Activities
Auditor	<ul style="list-style-type: none"> <li>● Supervises those performing contract audits; or acts as the subject matter expert in technical audit areas (technical specialist); or acts as the liaison between DCAA and buying commands.</li> </ul>

Core Certification Standards (Required for DAWIA certification.)	
Acquisition Training	● None required
Functional Training	● None required
Education	● Same as Level II
Experience	● 3 years of contract auditing experience and attainment of position beyond senior auditor

Unique Position Training Standards	
<b>Financial Liaison Auditor (see note 6 below)</b>	● <a href="#">ACQ 101</a> Fundamentals of Systems Acquisition Management
<b>Supervisory Auditor (see note 16 below)</b>	<ul style="list-style-type: none"> <li>● <a href="#">AUD 8414</a> DDI Leadership Skills (R)</li> <li>● <a href="#">AUD 8564</a> Administration and Management of Audits for Supervisors (R)</li> <li>● <a href="#">AUD 4035</a> Quantitative Methods Refresher (R)</li> <li>● <a href="#">AUD 1440</a> GAGAS</li> <li>● <a href="#">AUD 8611</a> EEO for Supervisors</li> <li>● <a href="#">AUD 8655</a> Human Resources for Supervisors</li> <li>● <a href="#">AUD 8565</a> Supervision (R)</li> </ul>
<b>Technical Specialist (see note 6 below)</b>	<ul style="list-style-type: none"> <li>● <a href="#">AUD 4035</a> Quantitative Methods Refresher (R)</li> <li>● <a href="#">AUD 1541</a> Cost Accounting Standards (R)</li> <li>● <a href="#">AUD 5651</a> Retrieving and Analyzing Electronic Data Using SAS (R)</li> <li>● <a href="#">AUD 2311</a> Defective Pricing</li> </ul>

Core Plus Development Guide (Desired training, education, and experience)	Type of Assignment
<b>Training</b>	<b>Auditor</b>
<a href="#">ACQ 101</a> Fundamentals of Systems Acquisition Management	✓
<a href="#">AUD 1570</a> CAS—Administration and Coverage	✓
<a href="#">AUD 1571</a> CAS 401, 402, and 405	✓

<a href="#">AUD 1572</a> CAS 403, 410, 418, and 420	✓
<a href="#">AUD 1573</a> CAS 404 and 409	✓
<a href="#">AUD 1574</a> CAS 414 and 417	✓
<a href="#">AUD 1575</a> CAS 406, Cost Accounting Period	✓
<a href="#">AUD 1576</a> CAS 408 and 415	✓
<a href="#">AUD 1577</a> CAS 407, Standard Costs for Direct Material and Labor	✓
<a href="#">AUD 1578</a> CAS 416, Accounting for Insurance Costs	✓
<a href="#">AUD 1579</a> CAS 411, Acct. for Acquisition of Cost of Material	✓
<a href="#">AUD 1580</a> CASB Disclosure Statements	✓
<a href="#">AUD 2311</a> Defective Pricing	✓
<a href="#">AUD 8414</a> DDI Leadership Skills (R)	✓
<a href="#">AUD 8564</a> Administration and Management of Audits for Supervisors (R)	✓
<b>Education</b>	
● None specified	
<b>Experience</b>	
● None specified	

**Notes:**

- 1** The Core Certification Standards section lists the training, education, and experience REQUIRED for certification at this level.
- 2** "(R)" following a course title indicates the course is delivered as resident based instruction.
- 4** For information on AUD courses, contact the Defense Contract Audit Institute at (901) 325-6100.
- 5** When preparing your IDP, you and your supervisor should consider the training, education, and experience listed in the Core Plus Development Guide at this and the lower level(s) if not already completed.
- 6** Workforce members assigned to the positions(s) listed in the Unique Position Training Standards section MUST meet these training standard(s) within 6 months of assignment.
- 16** For Supervisory auditors, AUD 8414 is required within six months. All other courses must be completed within one year except AUD 4035, which is completed in years 2-5.