



DEFENSE ACQUISITION UNIVERSITY EMPLOYEE SELF-ASSESSMENT

ACQ 130 - Fundamentals of Technology Security/Transfer (FTS/T)

Note:

- Provide a justification(s) package referencing the numbered outcomes as appropriate on separate paper.
- Only the numbered outcomes (bold font) need to be addressed.
- The enablers (indented if specified) are provided to ensure the outcome is sufficiently addressed.
- The **Achieved** column is for use by the initial (functional) evaluator.
- Attach this guide with the justification to the DD form 2518 for a complete package.

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Outcomes and Enablers		Achieved?	
		Yes	No
1	Recognize the purpose of technology security in international acquisition programs, the key players involved, and the role of the defense acquisition workforce in the overall process.		
	Identify the different types of international acquisition programs, and their growth and importance in today's acquisition environment		
	Define technology security		
	Explain the requirement for security planning		
	Identify the key players in the technology security/transfer arena and summarize their responsibilities		
2	Recognize the basic underlying security principles and authorities that govern foreign disclosure, technology control and security requirements for international acquisition programs.		
	Explain how the term "lawful and authorized" applies to international acquisition programs		
	Describe the two primary security considerations to determine participation in international acquisition programs		
	List the three documents that provide the legal and policy basis for security requirements in most international acquisition programs		
	List the three conditions that must be agreed to by foreign recipients before controlled information and technology can be transferred		
	Describe the two activities associated with the Government-to-Government principle as it applies to international acquisition programs		
3	Describe the policies and procedures for the classification, handling, and transmission of defense information and technology in international acquisition programs.		
	Explain how classified information is designated and declassified		
	Outline the importance, construct, and application of Security Classification Guides (SCGs)		
4	Describe protection procedures for Controlled Unclassified Information (CUI) and Foreign Government Information (FGI).		
	Define CUI and FGI		
	Describe the general requirements and procedures for protecting CUI and FGI		
	Recognize how protection requirements for CUI and FGI, including NATO information, differ from protection requirements for U.S. classified information		
5	Recognize National Disclosure Policy (NDP) basics, principles, and modes of implementation for foreign disclosure authorizations.		
	Identify the responsibilities of the DoD Arms Transfer and Technology Release Senior Steering Group (ATTR SSG) and the Technology Security and Foreign Disclosure Office (TSFDO) in DoD's foreign disclosure decision making		
	Recognize the various Technology Security and Foreign Disclosure (TSFD) processes that may impact international acquisition program activities		
	Explain how the National Disclosure Policy (NDP) implements the AECA, E.O. 13526, and NSDM 119		
	Define the term Classified Military Information (CMI)		
	Recognize the steps in making disclosure authorization decisions		
	Describe the various means to satisfy protection requirements associated with disclosure authorizations		
	Describe various alternative security arrangements in the absence of a General Security Agreement		
	Explain the importance of early TSFD planning		



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6	Recognize the pertinent Arms Export Control Act/International Traffic in Arms Regulations (AECA/ITAR) and Export Administration Act/Export Administration Regulations (EAA/EAR) provisions that relate to international acquisition programs.		
	Explain the purpose of export controls		
	Identify the U.S. Government agencies involved and their respective roles		
	Recognize the ITAR/EAR and explain pertinent terms and procedures		
	Identify the three types of ITAR export authorizations		
	Recognize how ITAR exemptions may be used in international acquisition programs		
	Describe the standard U.S. export control process for international acquisition program transactions		
	Recognize export control violations and penalties		
7	Explain the policies, procedures and related security requirements for the visits and assignments of foreign representatives, and overseas visits by DoD personnel.		
	Describe DoD policies and procedures for visits and assignments of foreign nationals to DoD Components and U.S. contractor facilities		
	Identify the three types of international visits and their application		
	Describe DoD policies and procedures for DoD and contractor personnel to visit foreign government and contractor facilities		
	Identify key exceptions to the International Visits Program policies/procedures, and explain the procedures for training visits under the Security Assistance Management Manual (SAMM)		
	Identify the types of foreign personnel assignments to DoD and U.S. contractor sites and their related security requirements		
	Describe procedures for controlling access by foreign national visitors and employees		
8	Describe how foreign disclosure, export control and technology security requirements are addressed throughout the Defense Acquisition System.		
	Review the basics of the Defense Acquisition System (DAS) and the Joint Capabilities Integration and Development System (JCIDS) processes; and identify key players and their roles		
	Recognize that considerations addressed in JCIDS documents and the Analysis of Alternatives (AoA) becomes the basis for decisions on foreign disclosure, export control, and technology security issues during the acquisition process		
	Recognize the key organizations that provide input to the security requirements in the DAS process		
	Recognize the key documents that address foreign disclosure, export control, and technology security requirements in the DAS process		
	Identify when to initiate planning and preparation of foreign disclosure, export control, and technology security documents		
	Describe the requirement for comprehensive program protection and how the elements result in a Program Protection Plan		
9	Recognize the importance of a solid national industrial security program as it relates to technology security.		
	Identify the statutory and regulatory basis for the Industrial Security Program and DSS role and authority		
	Describe the importance of Industrial Security Agreements		
	Explain roles and responsibilities of DSS		
	Describe the relationship between the National Industrial Security Program Operating Manual (NISPOM) and the ITAR		
	Explain the basic DoD policy, to include the Designated Government Representative role, in international transfers of classified information and material		
10	Explain how increased foreign investment and involvement in U.S. defense industries can present risks to national security.		
	Explain the benefits and risks involved in foreign investment in the U.S.		
	Explain the legal and policy basis for CFIUS and the U.S. Government's involvement in the review of potential acquisitions, takeovers, and mergers by foreign investors		
	Describe the FOCI policy and factors used by DoD to make FOCI determinations		



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	Explain various methods to negate or mitigate FOCI		
	Identify the differences between CFIUS and FOCI		
11	Discuss the Fundamentals of Technology Security and Transfers.		
	Recognize the importance of controlling the foreign disclosure/export of sensitive information and technology in an international acquisition program and assuring its protection		
	Identify sources of additional training and information		